



Trade Compliance (UAE)

APPLIES TO
UDB Logistics FZCO (DIEZ)

VERSION
v1.0

LAST UPDATED
16 Feb 2026

OWNER
Compliance (Head of Compliance)

GOVERNING LAW
UAE / Dubai Courts

EFFECTIVE DATE
14 Feb 2026

DOC ID
UDB-UAE-TRADE-v1.0

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1. Purpose

This Trade Compliance framework describes how UDB Logistics applies sanctions screening, export control and strategic goods controls, and risk escalation procedures for shipments handled under UDB Logistics FZCO (DIEZ).

2. Scope (who/what is screened)

UDB Logistics screens, at a minimum, the following parties and shipment data (as applicable):

- Shipper / Consignor
- Consignee
- Notify party
- Payer (where available)
- Agents, intermediaries, and handling parties involved in the shipment (where applicable)
- Goods description, HS codes, origin/destination, routing, and supporting documents (invoice / packing list)

3. Sanctions & restricted party screening

UDB Logistics performs sanctions screening against:

- UN sanctions lists
- UAE applicable lists and requirements
- Additionally, where commercially required (e.g., banking, carrier, insurance, or customer requirements), screening may also include OFAC / UK / EU lists.

Screening timing:

- Screening is performed at booking acceptance and/or during customer onboarding.
- Screening is repeated when there are material changes (parties, routing, goods, documents) and periodically to reflect list updates.

4. Match handling (hits) & escalation

If screening results indicate a potential match:

- The shipment is placed on hold pending review.
- UDB Logistics performs manual review using available attributes (name variants, address, country, identifiers, company registration details, and shipment documentation).
- If a match is confirmed, UDB Logistics will reject the shipment and may request additional information to resolve the potential match.

5. Prohibited / restricted goods & export control (strategic goods)

UDB Logistics applies controls for restricted goods and strategic/dual-use goods. Where required, UDB Logistics supports export approvals via the UAE strategic goods online services portal (uaeiec.gov.ae) based on shipment documentation (commercial invoice, packing list, HS codes, value/weight, parties).

Process (high-level):

- Customer provides invoice/packing list and required shipment details.
- UDB Logistics submits an approval request via the UAE portal when required and waits for approval or rejection.
- Where applicable, additional local authority clearances may apply prior to uplift.

6. Dubai export clearance (CID/SSD – where applicable)

For certain shipments departing from Dubai, additional authority clearance may be required prior to uplift (e.g., CID/SSD endorsement) based on destination and/or goods type. UDB Logistics supports this clearance workflow as applicable.

7. Customer obligations & accuracy of declarations

Customers are responsible for providing complete and accurate shipment information including:

- Correct goods description and HS codes (where applicable)
- End-user / end-use declarations (where required)
- Accurate parties and routing details

Failure to provide accurate information may result in delays, rejection of the shipment, or cancellation.

8. Recordkeeping

UDB Logistics retains relevant compliance records and screening evidence for operational and compliance purposes. Typical retention period is at least 5 years, unless a longer period is required due to business, legal, or regulatory needs.

9. No legal advice

UDB Logistics does not provide legal advice. Customers remain responsible for compliance with applicable laws and regulations, and for the accuracy of declarations and supporting documentation provided.